1 validity of this expectation you would study his intellectual level in relation to his achievements in school, if he was 3 a school child? Right? that he castle had A. That is one of the frustrations about intelligence testing. We find it has about fifty percent correlation with grades. We think 5 we are really measuring aptitude, and if in fact we are --Gran a year / MR. TROBINSON: Weller Law Man god of the sanding MR. PESTARINO: Excuse me, let him finish. of season and the WITNESS. It simply means what you are trying 9 10 to measure is an aptitude. The school grades are an allege achievement and they have quite a motivation base. 11 Achievement is more based on motivation than it is ability. 12 You may find a bright child with one hundred forty I.Q. and 13 he is into Marijuana and drugs, he flunks out of school. 14 Q. . What you are saying then, I.Q. tests only have about a 15 fifty percent chance of being accurate in terms of predicting 16 future behavior? 17 A. No. they measure potential and given this potential. The original I.O. 18 tests were developed by Binet, a Frenchman, and what he wanted to do was to sort school children to see which one 20 had the greatest probability of achievement. 21 Q. The Stanford-Binet Test, right? 22 可能的 的复数 医多种毒素 A. It lead to Stanford-Binet later, but Binet developed it much earlier. Bolysky was know was to low water was 24 Q. Now, suppose you have one hundred witnesses in a trial 25 okay? 26 Um-hum.

 $_{
m j}1$ So what we are looking for, psychologists would be looking for is the relationship between a person having blue 3 eyes and killing somebody, correct? Well, I don't know what your example leads to. all right. 6 Well, to show validity. If you have X, somebody with blue eyes, you have Y? Well, it just is not the kind of logic that I guess I want to use. But if 9 you will go further maybe I will understand what you are 10 trying to say. 11 Well, I'm trying to say, you told about validity as the relationship between one factor variable to another, 12 13 correct? That is your definition of validity? 14 No, that was your definition. 15 Q. What is your definition? Validity is a scale which measures what I think it 16 measures. If I think I am measuring length and I am really 17 measuring length, the scale is valid. 18 Can you give me another definition because I am not 19 comprehending that at all. 20 If I want to measure what I call intelligence, I do 21 have to relate it to something. All right? To 22 demonstrate. To make it valid? Q. To be sure that I am measuring what I think I am measuring. **2**5 Q. And that is about Okay. Α. 26

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as good as I can do. What else could I say? Let's see -if I want to develop a scale about violence --

Q. Um-hum.

-- and I seek out those people who are most prome to violence, and maybe I am working with a children's group, so I would then, I would develop the items that I want to use to try to predict or evaluate that a person has a tendancy to violence, and then I might have four or five different groups of children. One group, let's say one of my criteria might be that the children are conforming, and I might use their attendance in school as being conforming. That would be a little bit off to the side, but it might be useful. I might, maybe the simplest thing I could do would be to use the teacher rating. The teachers who know these children best and observe them a great deal, they could classify their children in groups of say, five, in a scale of one to five. These children have the most tendency to hit somebody else, these have the least tendency to hit somebody else.

Q. Let me stop you right there. In terms of conforming, what you want to do is you want to show what is the relationship between violence and children in school, right?

A. I want to be able to, if I am going to do this, what I would like to do is measure children before they have to go through the agony of conflicting with the system where they are not going to

1 work, maybe I want to group them in a different way, and so I want to anticipate their tendancy to violence, so I go to the school situation where I truly know, you know, that we have the behavior demonstrated. I ask the people who know these children best to tell me which are the most violent. 6 I get a scale. 7 Now, the people that you ask that know these children best would give you a subjective opinion? 9 They would give me a rating. They would compare all 10 children with all other children. 11 Q. Is that a subjective opinion? A. All things are subjective. 13 Q. Now, let's talk about base rates? 14 A. Base? 15 Q. Base rates. Are you familiar with that term? 16 A. No. Better tell me what you mean. 17 Isn't base rates a common term in psychology? Q. 18 If it is I haven't heard it. A. 19 All right. Base rates in psychological matters refers 20 to the extent to which a behavioral propensity characteristic 21 or other psychological phenomena exists in the general 22 population, or if relevant in a particular sup-population. 23 You are talking about some base level for a particular behavior; is that correct? 25 Correct. Base rates. A. Well, all

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right. A base level?

1 Q. Okay. You say rate, then you have to tell me, rate of what? 3 Q. It is just a term, base rate. 4 Rate refers to frequency? A. 5 Frequency, right. It has to do with events in time. Q. Well, events over what period of time? See, if you 7 say basil level, we are just talking about a concept that says this is a kind of norm for a population. 9 The norm for population, right. Α. All right. 11 So are base rates vitally important in determining 12 the significance or meaning attached to a particular 13 phenomena or behavior? The norms are the 14 things that we do refer to as psychologists to compare 15 people to see whether they vary or not. 16 I got you. So, for example, if you wanted to attach 17 some significance to a person, say, packing a gun, okay? 18 Let's assume that. 19 Um-hum. 20 What you would do is , you'd see what the base rate is 21 what the norm in the community is for packing a gun before 22 before you could establish any significance on this person **2**3 Well, assuming packing a gun, right? 24 you didn't do it on frontier day in Nevada City, yeah. 25 So you would look at the society and you would say --26 suppose nobody in society packed a gun, only this individual

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norm of what?

	the contract of the contract o
1	Q. The norm of how these people
2	A. Whether they believe
3	Q. Whether they are religious, non religious, what is the
4	norm, the base rate, what is the average?
5	A. Well, I haven't studied Assyrians in the flesh so I
6	don't know what the one point seven million Assyrians really
7	feel about the church.
8	Q. What is the base rate, the norm in the Assyrian
9	community about how the individuals feel about their father?
10	A. Well, they come from a history of a sacred king, so
11	I'd assume that they are a fairly paternalistic society.
12	Q. So if somebody else was fairly paternalistic and he
13	was from Syria that wouldn't violate the norm, wouldn't
14	violate the base rate? A. That would seem
15	to be consistent with the history depending on what sub-
16	group they happened to be in.
17	Q. What about the Barnum effect, tell me a little bit
18	about that? A. The Barnum effect?
19	Q. Um-hum. A. Would you tell me
20	what you mean?
21	Q. Okay. The Barnum effect is basically a failure of
22	the psychologist to take into account base rates or norms.
23	In other words, it consists of essentially of making
24	statements about an individual that would be true of large
25	numbers of the people for the purpose of demonstrating that
26	one understands and, therefore, can describe salient aspects

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     were unimportant. " Okay? Is that true of all of us?
           Well, it sounds like a rather general statement, yes.
           Okay. That is what I mean by the Barnum effect.
 4
     general statement that applies to everybody. Okay?
           All right.
     A.
           All right. So, for example, somebody did something
     because they loved their father. Okay? Is it a general
     statement that applies to most of the population that
     people love their fathers?
 9
                                                      Not the
     people who come to see me.
10
           Not the people that come to see you. They don't love
11
     their fathers?
12
                                            Well, they seem to
     have a reverse feeling mostly.
13
     Q.
           They hate their fathers?
                                                       Often.
14
           Okay.
                                    A. Maybe I should say
15
     love - hate both.
16
           Let's talk a little bit about your clinical exam, if
17
     we might. Okay? You are a clinical psychologist?
18
     A.
           True.
19
           Okay. And what does the clinical exam consist of?
20
           "A" clinical exam? You know --
21
           Your clinical exam.
     Q.
                                                 A.
22
                                                        My
     clinical exam?
23
     Q.
           Yes, sir.
                                       A.
                                              For what? You know,
24
     there is no standard clinical exam that I know of.
25
           There is no standard?
     Q.
                                               A.
                                                       Well, you
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	tell me your objective and
	A. Go ahead, tell No.
2	Q tell me your objective and what?
3	A. Whatever the objective of the examination is one
4	tailors the work more or less to it.
	Q. And what was your objective, of your examination with
	Mr. Ismail? A. It was to attempt to
7	understand him as much as possible. The work of psychology
8	and psychotherapy is essentially a search for truth. Truth
9	is very hard to come by. So that is what we are after, so
10	we use all of the procedures we can.
11	Q. You are after truth, correct? A. As basic
12	a truth as possible.
13	Q. Have you taken any courses in school about learning
14	how to, how you can decide if somebody is telling you the
15	truth or not? A. Well, I haven't been
16	in school for ten years.
17	Q. Did you take any? A. Not that I can
18	recall.
19	Q. All right. Have you done any work experience in
20	determining whether or not somebody tells you something they
21	are telling you the truth or not? A. No, the
22	whole work of clinical psychology and psychotherapy and
2 3	psychiatry is to attempt to get around what is the most
24	common thing of all, which is self-deception.
2 5	Q. Self-deception? A. Yes. In a
26	

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yes terday.

sense we find that over and over, that most everybody deceives himself or herself about what the truth of their situation is. One of the words used for this is "rationalization." We find all kinds of explanations to explain away our responsibility or our guilt or that we could have in any way been wrong, so anybody who practices in my field is accustomed to having people come and wanting, you know, they want you to believe it the way they say it. Sure. And I take it when somebody comes in and you know that from your experience that they want to believe the way they say it, you sort of look at what they say with a jaundiced eye, would that be fair to say? Well, I think what I tend to do, first I try to hear completely what the person is saying. Q. And then is it safe to say that you can't rely upon what the person tells you, that you have to do other outside work to find out what the other side of the story Well, we do that, and we is? continue to talk. Fine. What outside work did you do in this Q. Okay. What outside work did I do case? in this case about what? You are down here on a murder case. You know that? Q. I think it is a very serious matter, sir, I do. A. That wasn't apparent from the way you were laughing

1 MR. PESTARINO: That is argumentative. Okay. MR. ROBINSON: (By Mr. Robinson) Did you read the police reports in 3 They have not been 4 A. this case? 5 given to me. No. I did not 6 A. Did you ask for them? 7 ask for them. Well, I originally asked Why not? of the defense staff that they provide me with all of the 9 information that was available. They didn't give me very 10 much information. And I just assumed that what they wanted me to do was to give as objective an evaluation as I could without being too much influenced by, too much by whatever 13 else had taken place. So that is what I did. 14 You made -- so what information did you base, or what 15 information did you use to form your opinion that you gave us yesterday that Mr. Ismail isn't a cold premeditated 17 I used ten years 18 murderer? I used twenty-four experience as a clinical psychologist. years experience as a psychologist and my intelligence and 20 my skills in trying to evaluate another human being. 21 And you told us before that you had never testified 22 and never evaluated before in your ten years experience a 23 I have cold premeditated murderer? examined people that have killed other people. 25 Well, they were That were insane? 26

I asked him to tell me everything that he

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Α.

remembered. And did Mr. Hernandez, Mr. Pestarino's investigator 3 provide you with what happened? A. No. What did he tell you? Well, when I mentioned that he had given me a few little bits of information he had, they were not, they did not have to do with whatever had happened with regard to the person being dead. 10 Okay. And so, did you ask Mr. Ismail where he got the Q. 11 gun? He told me where he got the 12 gun. 13 Q. Where did he tell you that he got it? 14 A. He told me he bought it. 15 Okay. And did he tell you where he bought it? Q. 16 Um-hum. 17 I think that is all Where? 18 a matter of record. He told me he bought it in a bar. 19 And did you believe him? I didn't disbelieve him. I didn't find any reason to disbelieve him. **2**0 I didn't try to protest that particular segment. 22 Did you feel that was significant as to where he got 23 the gun and how he got it? Well, there is so many variables in terms of where you get a gun, you know, **2**5 it seems significant to me where he got the gun. I didn't 26

figure that I had the tools with which to really define where

Q.

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he got the gun, so I accepted more or less what he had said for the time being.

- All right. You feel it wasn't significant in forming the opinion you have told us about that Mr. Ismail wasn't a cold premeditated murderer as to where he got the gun? Well, if you could demonstrate to me that Mr. Ismail A. had gone and gotten this gun with the intent to shoot somebody, of course that would be a different matter. just that there are so many different ways that a gun can come to one's hands and one is not supposed to carry a gun, so the possibilities of Mr. Ismail protecting somebody else had occurred to me, so I didn't push him in his explanation of where he got the gun. What he told me seemed to hold together. It was a little odd. But then that wasn't my place to try to define where the gun came from. My place, as I saw it, was to try to evaluate Mr. Ismail.
- Q. Evaluate him in respect to what?
- In respect to what kind of a person he is.
- What kind of a person he is now or what kind of a person he was on November the 6th, 1975?
- What kind of a person he is now and probably was then.
- So if you were trying to evaluate him as to what kind of a person he probably was on November 6th, 1975, you'd want to know if he had lied to you about anything, wouldn't you? Well, I didn't examine him, you know, drunk either. So I wasn't examining him exactly

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the way I understand he was on that day. As a matter of fact, that might have been a little helpful, but I didn't feel that I had the freedom to suggest that.

- Q. Is it your understanding he was drunk on the night of November 6th?

 A. That is one piece of information I have accepted and haven't really checked out.

 I understand he had a point zero eight blood alcohol when he was in the jail.
- Does that indicate to you that somebody is drunk?
- A. It indicates to me that somebody has been drinking.
- Q. You just told us that he was drunk. Does that point zero eight indicate to you that somebody is drunk?
- A. It is not the legal classification for drunk driving, but it is getting close to it.
- Q. Is there a legal classification for drunk driving?
- A. My understanding is that blood level above a certain point is, and maybe that it is, that point zero eight is above it, I don't really remember that exactly. I did a study not too long ago with a Mr. Belardas in which we deliberately attempted to produce a certain blood alcohol level, and we got to that blood alcohol level in the course of several hours by having the man ingest a pint of whiskey. And I watched him do it, and I participated in the evaluation of him while this was going on. At the end of that period of time my recollection is that he had gotten to a one three level, so I have some notion of where a point

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      zero eight falls. In terms of the exact legal definition
      of driving intoxicated, I am not acquainted, am not really
      sure of that. But I have a real notion of what that means.
     Q.
            You saw one individual at a point thirteen blood
     alcohol?
                                          Um-hum.
 6
     Q.
            Okay. Was this individual able to think?
     A.
            He was pretty woozy. He could think some.
 8
     Q.
            Could be premeditate?
                                                        I don't
 9
     think so.
10
            You don't think he could?
     Q.
                                                          No.
11
     Q.
            What do you base that opinion on?
12
     A.
            My testing of that man at that time.
13
            What sort of test did you give him regarding
14
     premeditation, ability to premeditate?
15
     A. Well, ability to premeditate requires a certain
16
     intellectual control, and his intellectual control had
17
     loosened considerably at that time, Mr. Belardas, and I
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     just wouldn't have felt he could plan to do very much of
19
     anything. He was just a kind of person that was kind of
20
     rolling, and anything that would happen he would respond to
21
     it.
22
     Q.
            That is Mr. Belardas?
                                                A.
                                                       Mr. Belardas.
23
     Q.
           He was an experienced drinker?
24
           He sure was.
     A.
25
            And he was at point thirteen riling wherever he'd go?
     Q.
26
            He could be led emotionally or intellectually almost
     A.
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1 anywhere. Now, the clinical interview that you conducted, okay? Q. 3 A. The interview that I conducted, yes. Well, that is a clinical interview, isn't it? Q. 5 Well, it wasn't in a clinic, but it is an interview. A. Give me the definition of a clinical interview. Q. Well, in a clinical interview you are dealing with A. 8 somebody who has known psychopathology and you are doing a 9 clinical job, it is in a clinic. That is where the word 10 comes from. I don't like to use that word so much about 11 people that I talked to, so if I talk to somebody I have an 12 interview with a person. I don't see a patient. I don't 13 see somebody who is sick. I see somebody who is like 14 everybody else, as much as possible. 15 Q. Now, are there any drawbacks to the interview process 16 that you conduct? A. Interviews are 17 known to be difficult, you know, in the sense that they have less reliability than other procedures, and so much on 19 the interviewer. 20 Depends a lot on the interviewer? 21 Yes. 22 And does it also depend a lot on the interpretation of the interview by the interviewer? A. I think like all interviews, surely, 25 Okay. And does it depend a lot on the place where the 26 interview is conducted? A. Certainly that

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网络人名英格兰 医克里氏试验检

Q. Isn't there a substantial amount of authority in the field that says that the place of the interview is very significant on the results that you obtain from the interview?

A. Well, there are so many authorities that I find that I view them with the same care that I do any other piece of information. I don't think there is anybody who is authoritative enough to tell you how to relate to another human being. That is a skill you learn. Nobody can tell you how to do that. You can have

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rapport and be close together in a lifeboat when you are going to be dead in five minutes, or any other place. It is what happens between the two people that is significant. So there is no way for an authority to really tell you what to do. There is no way to learn by somebody telling you what to do in my field.

- Q. Is there a substantial amount of authority in your field that says that the place of the interview is crucial regarding the data that you obtain from the interview?
- A. Not in my opinion.
- I function out of my own experience. Now, all of the authorities are there to tell you what you should and shouldn't do. It is like learning to fly an airplane. You know, your instructor can tell you what you should and shouldn't do, but you learn to fly the airplane after he has guided you to a certain point. It is fine to accept authority when you know nothing. After you begin to learn how to fly, you fly the airplane. After you learn how to be a clinical psychologist you become a clinical psychologist.

 Q. How did you learn how to examine people charged with
- people charged with murder the same way I learned how to examine examine every other person with every other crime attached to them. Whether they happen to be legal items or whether they have been cited into Court or not. We all commit crime

That is the nature of a human being. 1 after crime. So you would examine someone charged with murder the same way you would examine somebody in your office that wasn't charged with any crime? 4 A. When I talk to people day in and day out, some of whom can kill 5 themselves or somebody else, I have a certain fair feeling about, what people are like in terms of other people. You talk to people in your office that aren't charged with crimes that you say can kill themselves or somebody else? A. 10 That is correct. And you are able to diagnose this, that somebody can . 11 kill somebody else? 12 I can certainly see the possibility. 13 Q. Possibility all right. Anything is possible, right? A. My judgments are usually based on some --15 Excuse me, can you answer my question and then explain? Q. 16 If you want me to agree with you that everything is 17 possible or anything is possible, surely anything is possible 18 in this world, including that you should rise up off of that 19 seat, you know, levitate. It's possible. 20 And you are telling us that some people you interview 21 in your office, you say there is a possiblity they will go 22 out and commit a murder? I have talked **2**3 to police officers who have killed people, aside from the 24 kind -- in my office as clients, aside from the kind of 25 people that I have talked to who want to do it, and aren't 26

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sure whether they will or won't, which is a very difficult place for a clinical psychologist to be in, or any psychotherapist, you know, when you talk to somebody who are ready to do somebody in, that is kind of an uncomfortable place.

- Q. Can you accurately predict, based upon your experience, based upon your study, that somebody is the sort of person who will commit murder?

 A. So far I have been fortunate, nobody has killed themselves with me nor anyone else. Some of my friends in Berkeley, not so fortunate.
- Q. Can you accurately predict --
- A. Apparently I have been accurate.
- Q. Okay. And your friends in Berkeley, did that involve the case of the Regents of the University of California?
- A. Yes, it did.
- Q. Okay. And are you familiar with the brief that was filed in that case?

 A. Some time ago

 I read it.
- Q. And in that case some psychiatrists and some psychologists were sued by the victim, by the parents of a victim, correct?

 A. The suit, I believe, was against the head of the clinic and the University.
- Q. Okay. And basically the facts of that case were that a man went in, okay? And he gave some information, and he said he was going to kill somebody?

1 A. Um-hum. Q. Named a specific person? Um-hum. And then went out and killed that person, right? 3 Q. Α. Subsequently. And the psychologist and psychiatrists didn't warn Q. 5 that person that this man was going to kill him? 6 They attempted to, one psychologist and one A. psychiatrist attempted to stop the man. The head of the clinic apparently decided that that was not to be, and he reversed these two people who were closest to the man who 10 actually did the killing. 11 And you told us that you are familiar with the brief 12 filed in that case? 13 A. I am not totally familiar with it. I simply have read it at one time. 14 Let me ask you this, if this rings a bell, a brief 15 filed by the American Psychiatric Association, "Study after 16 study has shown that the psychiatrist is ill-17 equipped to undertake the prediction of his 18 patient's potential dangerousness. The Court's 19 formulation of the duty to warn fundamentally 20 misconceives the skills of the psychotherapist 21 in its assumption that mental health professionals 22 are in some way more qualified than the general 23 public to predict future violent behavior of their 24 patients. Unfortunately study after study has 25 shown that this fond hope of the capability 26

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scurately to predict violence in advance is simply not fulfilled. Neither psychiatrists nor anyone else have reliably demonstrated an ability to predict future violence or dangerousness. Neither has any psychiatric expertise in this area been established. Indeed, if the Court is intent upon finding a duty to warn of a potential aggressive act, this duty should more properly attach to members of professions such as correctional officers, actuaries or members of the general public who have proved more able to make such predictions."

Are you familiar with that language?

MR. PESTARINO: Objection.

THE COURT: What is the objection?

MR. PESTARINO: The objection is hearsay. There is no foundation laid that the witness based his opinion on these.

THE COURT: Well, that is what counsel is asking him, whether he was familiar with that, and also --

MR. PESTARINO: You ask him if you are familiar with the journal. You don't read the whole thing.

THE COURT: Well, otherwise he could not --

MR. PESTARINO: Let him read it to himself.

THE COURT: Well, it has already been read.

MR. PESTARINO: I know it has. I will withdraw

the objection. Go ahead.

Do you understand the question?

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THE WITNESS: I don't know whether I understand the question.

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THE COURT: The question is: Are you familiar with that statement?

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I have never -- yeah, I think I THE WITNESS: have read it briefly before, and I can give you a response to it, if you wish. It is a very self-serving document by a group who does not want to be attacked as responsible to prevent crimes that they possibly could. They are concerned about a breach of confidentiality, and they are fighting like crazy to reverse the Supreme Court's judgment in this state which says they will be responsible in case somebody gets killed and they could have prevented it. And there are certain safeguards the Court did request which I think are very substantial and important and I consider it almost unethical of the American Psychiatrical Association to put that brief together. And I disagree with it totally. And I think it is in very bad taste, and I see that it has no particular -- I just don't respond to it very graciously. (By Mr. Robinson) But the American Psychiatric Association that is -- can you tell us a little bit about that group? That is the group that fought the institution of health programs.

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Q. Well, what I want to know, what does it represent?

	1 A. It represents
	to represents a very fixed conservative model.
	2 - Tensic and academic psychiatry. And I am a perchalant
	I am a mental health professional.
	4 Q. Do you agree with the contentions in this build the
	was filed in the Supreme Court of the State of California
	A. One of the problems
	Q that psychiatrists and psychologists cannot
	accurately predict future violence?
	A. They didn't say psychologists.
10	Ι Δ
11	A. I think they said mental bassis
12	A. I think they said mental health professions 1s. Q. Skills of the psychotherapist.
13	Α. Α
14	Q. "It is an assumption that mental health professions!
15	
16	
17	Q. And you are a psychotherapist?
18	I am a psychotherapist in the sense that I am a
19	psychologist and psychotherapist.
20	Q. Now, in terms of the place where the interview takes
21	place, okay? A. All right.
22	Q. You are saying that because of your experience it
2 3	doesn't effect you at all or the interview or the results
24	of the interview if it is conducted in the jail as opposed
25	WY YULL OTTIMOV
	easy to work with a person in a jail. But I have done to
26	water a person in a jail. But I have done

1 valid as the data on which you base it on? Your question makes no sense to me. I am there as collector of information. Okay. All right. And this information -- okay --Yeah. -- you collect it, and you make a conclusion based 7 upon that information? A. Upon my 8 observations, yes. 9 And if that information is wrong would it therefore 10 follow that your conclusion is wrong? 11 I would be terribly wrong if my observations are A. 12 wrong. I will obviously come to the wrong conclusion. 13 And if that information was incomplete -- okay -- there 14 was a lot more information to be had, would it follow, 15 therefore, your conclusions might be wrong? 16 My conclusions based on my standard procedures in 17 light of what I am to do. Your question is very good, you 18 know, like am I not looking at the whole world of data I 19 could have of Assyrians and Mr. Ismail. I don't happen to 20 have the capacity to have all of that, so I cannot do a detailed history of Mr. Ismail and write a seven-fold volume on him. But I can do some observations and come to 23 a conclusion about him. 24 Q. Are there any psychological tests that were designed **2**5 for the purpose of measuring or assessing a legal issue? 26 What legal issue? A.

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1
           Any legal --
     Q.
                                                  Well, a test
 2
     that I am going to measure, I am going to use to measure a
 3
     person with regard to a legal issue?
           What I am asking you --
                                                   The
                                            A.
     intelligence test does that. It divides, you know,
 6
     prescribes a set of diagnoses which are important to the law
     in terms of mental capacity.
 8
           And those psychological tests were designed -- listen
 9
     to my question, okay?
                                                Okay.
10
           Were there any psychological tests that were designed
     for the purpose of measuring or assessing a legal issue?
11
           I don't know of any. I don't understand your question,
12
     perhaps.
14
           Yeah. You want to find out something -- okay?
15
                 All right. -- so you design a test to find out about
16
     this thing, right?
17
                                      . A.
                                              Um-hum.
18
     Q.
           Okay. My question is, were there any psychological
     tests designed for the purpose of finding out about a legal
19
     issue?
20
                                    Well, I think that I am
     falling on "a legal issue." I have no idea what you mean.
21
           Well, you don't know anything about legal issues, do
22
     Q.
23
     you?
24
                MR. PESTARINO: Well. do --
     Q.
           (By Mr. Robinson) Do you?
25
     A.
           I am not here as an attorney.
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you had to have some hatred or ill-will toward the victim, 1 didn't you? I believe you lead me to that conclusion. I asked you a question, sir, and you are an experienced person. I am just a professional psychologist. You can lead me wherever you vant. Did the defendant lead you wherever he wanted to? I hope not. But he didn't have me under quite as much 8 stress as you do. 9 You are under stress now? Well, you 10 are shouting at me. 11 Does that make you nervous? Well, it 12 is not pleasant. 13 Now, give us the reasons for your opinion that Mr. 14 Ismail isn't a cold premeditated killer. 15 Well, based on both the evaluation of his intelligence, 16 which is modest and my evaluation through the projective 17 tests, what seemed to be his motive, his structure, his 18 feeling of sensitivity to other people, the structure of his 19 responses to the Rorschach Ink Blot. I found almost no 20 taste of violence anywhere in any of this material. What I 21 found was a tremendous amount of social sensitivity which he also expressed throughout the interaction with him over 23 the course of seven hours. I saw a great deal of dignity 24 and compassion and concern, and identification with children 25 and family and church; and he just did not feel to me like 26

have given you before about not discussing the case. You may

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step down. We will resume at 11:10, approximately. And the defendant will be ordered to return.

(Whereupon the following proceedings took place in Chambers out of the presence of the jury with counsel and the defendant present:)

MR. FESTARINO: Would you ask Joe Hernandez to come in, too, if you don't mind.

THE COURT: Let the record show that we are in Chambers. The defendant is present with counsel, and the district attorney is present. Mr. Hernendez is present. Yes.

MR. PESTARINO: The last question that was asked would it change your opinion if you knew that Mr. Ismail spent some time in prison in Lebanon if the district attorney has some information to that effect that can be verified I would have no objection to the question. But if the district attorney has used that question when he can't prove the answer, then I have an objection. I think it is highly prejudicial. And I think that the jury ought to be reinstructed about it to disregard everything that was said with regard to that question.

Now, as I understand it, and I am only the attorney here, my client tells me and Mr. Hernandez has checked it out, there has never been any record of my client being in prison or having beaten anybody or harmed anybody in his life.

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MR. ROBINSON: My information comes from the relatives of the Patriarch who are outside, indicating to me in 1960 to 1962 Mr. Ismail was in prison in, it wasn't in Lebanon, excuse me, it was Syria, and the reason for being in prison is that he had beaten up a government official who had clashed with his father.

THE COURT: Do you intend to call anybody to establish that fact?

MR. ROBINSON: I intend to call the defendant back on the witness stand and ask him about that fact. I just discovered it yesterday afternoon.

MR. PESTARINO: Well, I think then this remark would be highly prejudicial coming from a witness who may have, maybe might have heard some rumor.

then it would not be admissible. If they know of their own knowledge by either having been present or having visited him, or something like that, then it would be admissible. I have asked the jury to disregard the question before the recess. I should, also, point out that the way the question was framed, it was not in the form of a hypothetical question but as a statement of fact. And if it is formed in the -- if it is framed, rather, in the form of a hypothetical question, then the instruction, which I assume you will ask me to read, indicates that if a fact upon which the hypothetical question is based has not been established,

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then the easwer is to be considered in that light. So that if you are unable to establish this fact then there is no prejudice. Now, I don't know what the facts are, and I don't know how you are going to get it in or if it is true.

MR. PESTARINO: If he can establish that somebody saw, saw this incident, then I would like to put David back on the stand, probably in Chambers, and ask him that question.

THE COURT: Well, we could do that now. There is another --

MR. PESTARINO: The only trouble is I am running out of time. I got Dr. Reppaport coming in here.

THE COURT: Another way, of course, to approach this would be to inquire of the clinical psychologist in taking the history whether anything like this was ever stated to him, any action of previous violence. Then again you would have the question of his opinion based upon the facts given to him.

MR. ROBINSON: Right.

THE COURT: All right. Now, I have one other thing. As long as Mr. Ismail is here and we are out of the presence of the jury, I have been thinking that although you have had an Assyrian interpreter and Doctor Nidever testified that he had an interpreter present at part of his examination, in view of the question of the jurors and the statement made by Mr. Ismail on the stand yesterday, I

 it.

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MR. PESTARINO: What are you proposing, Your

wonder if it would not be wise, if you are going to recall him, to have an interpreter to handle that part of it so there is no question that he fully comprehends the questions and the answers.

MR. FESTARINO: I don't think that it is necessary really. Maybe it is necessary for a psycholgist to have an interpreter because they have to be awfully specific in the things that they talk about. I don't know.

THE COURT: Well, my only concern is this, Mr. Pestarino, should be be convicted by this jury there is an automatic appeal, as you know.

MR. PESTARINO: No, there isn't.

THE COURT: On first degree?

MR. PESTARINO: No, it is only when you have the death penalty.

THE COURT: Well, should there be an appeal -MR. PESTARINO: Probably will be. I won't handle

THE COURT: That is the point exactly. A lawyer probably would be appointed or one hired, and in looking for something on which to hang a reversal, one of the grounds might be that the defendant was not afforded complete due process in that he did not totally comprehend questions of the English language, or whatever. And I want to make absolutely certain that --

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 Honor? That we have an interpreter to ask him if he has ever been in any trouble in Lebanon or whatever?

THE COURT: No, not necessarily, because I think Mr. Ismail understands English generally and is able to express himself. But he said yesterday on the stand that he did not understand certain words or perhaps connotations, and I just wanted to know whether or not he felt, and I will ask him directly: Mr. Ismail, would you like someone who speaks Assyrian to translate and interpret what you are saying in Assyrian to the jury in English so that you can have everything that you have wanted to say come out?

DEFENDANT ISMAIL: I be glad.

THE COURT: You would like that?

DEFENDANT ISMAIL: Yes.

THE COURT: I think you ought to have an interpreter then and put him back on the stand.

MR. PESTARINO: You mean go over the testimony again?

THE COURT: Not necessarily all of the testimony. It seemed to me that he was, the crucial part of the testimony that he was unable to go into were the events that occurred at the home of the Patriarch. I think up to that time there didn't appear to be any question of his ability to understand and comprehend. Is that right, Mr. Ismail?

DEFENDANT ISMAIL: What's that again?

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 THE COURT: That up to the time that you went to the home of the Patriarch you were able to explain to the attorneys and the jury what you thought, what you did?

DEFENDANT ISMAIL: Yeah.

THE COURT: And so forth; is that right?

DEFENDANT ISMAIL: Yeah.

THE COURT: All right. But that what happened at the home of the Patriarch you seem to have some difficulty in expressing in English?

DEFENDANT ISMAIL: Just when he said on the floor.

THE COURT: Would you like an interpreter to translate that into English, to interpret that into English?

DEFENDANT ISMAIL: I don't think that, no.

MR. PESTARINO: Let me talk to him a minute.

You understand all of the things that happened, when you went to the door, and after that, when you testified that you went in and all of the things that happened after that, you remember you understood the questions and you gave the answers didn't you?

DEFENDANT ISMAIL: Yeah. The problem was on the floor, but he explained for me. When I say "floor" it wasn't like you kneel or something.

MR. PESTARINO: Kneel down or when the judge explained.

1	THE COURT: Just the one question, when you were
2	on the floor, meaning flat on the floor?
3	DEFENDANT ISMAIL: I understand when he explain
4	for me.
5	THE COURT: So you don't feel that you need an
6	interpreter now?
7	DEFENDANT ISMAIL: No.
8	THE COURT: I just want that clear.
9	MR. PESTARINO: I was convinced of it myself,
10	Your Honor, because I dealt with this man for
11	THE COURT: I never ran into this problem before
12	and I just want to make certain.
13	MR. ROBINSON: Thank you, Your Honor.
14	(Short recess taken.)
/ 15 .	(Whereupon, Court convened in the presence of
16	the jury and the following proceedings were had:)
17	THE COURT: Please be seated. Let the record
18	show that the jury is present in the courtroom. Defendant
19	and counsel are present. I am sorry that we took so long
20	but we had a matter to discuss.
21	CROSS-EXAMINATION (Resumed)
22	BY MR. ROBINSON:
23	Q. Now, Doctor, are there different schools of thought
24	in psychology? A. Sure, many.
25	Q. Okay. And can you give us some of the schools of
26	thought, please? A. In learning theory

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1
           Let's talk about psychotherapy then. What other
     schools?
                                          In psychotherapy the
     depth analysts, besides Jeung and Freud included Adler and
    Wrong and Wright.
 5
           And all of these people are intelligent people to get
    where they got. I take it?
                                                       They
                                               A.
    certainly were creative, yes.
           And they all had their followers?
           Of course.
10
           And their interpretation of data might be different,
11
    might vary from, depending on the school of thought they
12
    belonged to, correct?
                                                Surely.
          Now, getting back to the examination of Mr. Ismail --
13
14
     A.
          Um-hum.
    Q. -- this was conducted on March the 11th, March the 13th,
15
16
                                      A.
                                            That is correct.
     and March the 14th?
           Okay. And this examination was conducted in the
17
    county jail?
                                          Ilm-hum.
18
           Now, did you know when the trial of the case of the
19
    Q.
     People vs. David Ismail started?
20
          My understanding was that it had begun just before I
21
22
     made my first examination.
           Okay. It started on March the 8th, correct? Or would
                                              I didn't know the
24
     you know that?
     exact date.
25
           But you know that the trial had begun prior to your
26
     Q.
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1 examining Mr. Ismail? I think I was Α. contacted when the jury had been selected. 3 That was my next question. When were you contacted? Q. Well, I don't know the exact day from memory. 5 believe it was the 9th of March. 6 Q. Okay. 7 MR. ROBINSON: And, Your Honor, would the Court 8 take judicial notice that this trial started on March the 8th? 10 THE COURT: Yes. So ordered. 11 Q. (By Mr. Robinson) Who contacted you? A. Mr. Hernandez. 13 Had you ever met Mr. Hernandez before? 14 A. No. I hadn't. 15 Q. Had you ever done any work with Mr. Hernandez before? 16 A. No. I had not. 17 And when Mr. Hernandez contacted you he asked you to 18 interview David Ismail? A. He asked me if 19 I would evaluate David Ismail, yes. 20 And asked you to evaluate him regarding what? I don't know that I can reconstruct the exact 22 conversation. I had been referred to him by Dr. Clausen. He asked me if I had ever worked in any criminal cases. I 23 24 said I had in a couple. He said would I evaluate Mr. Ismail. He gave me no instructions. He indicated that Dr. Rapaport, **2**5 Walter Rapaport, had done an evaluation and had requested a 26

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psychologist to do an examination of Mr. Ismail.
 1
            And did you contact Dr. Rapaport?
            I spoke to him on the phone.
 3
           Was that prior to conducting your interview with Mr.
     Ismail?
 5
                                           I think it was before the
     first interview, yes.
 6
           And did you discuss Dr. Rapaport's findings prior to
 7
     your interviewing Mr. Ismail?
     Rapaport told me his impressions and asked that I go ahead
     and evaluate the man, see what I thought.
10
           Now, do you think that the findings that you have
11
     given us, do they relate or correspond in any manner to your
12
     personal feelings and opinions?
13
                                                         I can't
     divorce myself from the situation.
14
     Q.
           We are all human beings, right?
15
           Yes .
16
           And although we try and be as objective as possible,
17
    hopefully a lot of subjectivity goes in an interview such as
18
     this?
19
                                  A.
                                          As I say, I think the
    process is totally subjective in a sense.
20
           Okay.
     Q.
21
                                Α.
                                       You try to use all of the
    resourses that you can so as not to simply be unrelated to
22
    reality.
23
           And along that same vein if another psychologist were
    Q.
24
    to interview Mr. Ismail he might come up with totally
25
    different data; is that correct?
26
                                                      A.
                                                             Or the
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1 same, sure. 2 We don't know? We'd have to have A. 3 another psychologist do it. Okay. And have you followed the leading trials lately Q. 5 the Patty Hearst case, for example? 6 I have read something about the Patty Hearst case, A. yes. 8 And the case of William Kemper? Q. Yes. A. 10 Okay. The case of Sirhan Sirhan? I don't recall I followed that very closely. 11 A. But in most of those cases what you basically had was 12 Q. psychiatrists interviewing the man, saying one thing, and 13 psychologists; and psychiatrists and psychologists inter-14 viewing the man and saying something entirely different? 15 Psychologists and psychologists differ. 16 Have you ever heard the expression used in hospitals 17 Q. and clinics that some staff members soon become easily 18 identifiable as health see-ers and some sick see-ers? It is not something that I am accustomed to. 20 A. Would that be a fair statement? 21 Q. Say the words again, please. 22 A. Some people that work in the hospital, 23 Q. psychiatrists, psychologists, look at somebody and they 24 become identified as a health see-er, say, 'Hey, I see that 25 person, I recognize the healthy qualities about him."

A.

Well.

Whereas another group see the same person and say, "I recognize the sickly qualities about him." Just depends how you view it? A. That is some thing I haven't heard before. We have kind of an AB psychotherapist, 4 one psychotherapist tends to tell the client what to do and 6 it is authoritative and manages the person as a patient. 7 The other classification is one who tries to create an understanding, and the work then is a function of the two 9 of them. And it is felt that the second type that I 10 mentioned is more successful in particular with psychotic 11 people. But once again we get back to the basic premise that 12 is an individual type opinion? A. What is an individual type opinion? 14 Q. The opinion of the psychologist, for example? 15 16 You have lost me. I am not trying to evade you. Is it a subjective type opinion? 17 Q. 18 A. Is what a subjective type opinion? How the psychologist views data or how a psychologist 19 Q. views an individual? 20 Inevitably. think in all professions. So, for example, like if we were dealing with -would you call psychology a science? 23 It is both scientific and it is an art form and 24 A. science. 25 26 Q. It is not a pure science, is it?

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of understanding to a point where he gets in close sympathy to psychotherapists who is also pushing out boundaries for understanding. Let me ask you this, isn't it true in the pure form of science, no matter what school of science you belong to, if you had two and then you had plus two, that would always equal four no matter how the individual viewed that? would be two and two equals four?

I think your point is well taken. There are people who It respond only to facts. But other scientists are very intuitive and they leap through facts to great discoveries. Q.

it is hard to say about that. Is an atomic physicist a

scientist? I am not really trying to evade your point.

are nuclear physicists and psychotherapists tend to be

There are such funny things that go together, like people who

My question is, -- see, you have to enswer yes or no for the record, they you can explain. Okay? A.

All right. So in the pure science such as engineering or mathematics, something like that, no matter what the data is -- okay -- if the data remains the same like two plus two equals four, no matter what school of thought you belong to, you are always going to get four, correct? Well, two plus two should

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     equal four unless it is in a different number system.
     Mathematics -- look, I just can't answer your question
     because it doesn't make sense. For example, mathematics is
 3
     not a science.
           Mathematics is not a science?
 6
           No, it is not a science. A science is, usually that
     is a term we reserve to investigation, to empirical work,
 7
     to research. Scientists are people who check out their
      idea by doing experiments.
           They check out their ideas?
10
                                                          By doing
     experiments. A mathematician might be such a pure
11
     mathematician that he would never do that, he would simply
     do high level logic.
13
     Q.
           The reason that scientists check out their ideas by
14
     doing experiments is to see if their ideas are valid?
15
     A.
           Yes, sure.
16
17
     Q.
           If they are reliable?
                                                   Sure.
18
     Q.
           So once again we get back into validity and reliability?
19
     A.
           The scientific method, yes, is basic to all
     scientists.
20
            Is psychotherapy -- psychology, is that a scientific
     Q.
     me th od?
                                           Yes.
           So you get your reliable data?
     Q.
23
           We collect data and attempt to verify it, yes.
     Α.
24
     Q.
           And in order to verify it you check out validity?
25
           We start hopefully with validity, then check out the
     A.
26
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reliability. 1 Q. You start with validity and then check out reliability? 3 Yes. You have to be able to measure something if you are going to do -- this work requires that you first have a valid measure, something that really does measure what you think it measures. Then having arrived at that you try to establish whether the ruler, the measure that you are using is elastic or not, or whether it always measures the same thing. If it always measures the same thing it is reliable. 10 So, for example, getting back to something that I can 11 understand, if A equals B -- okay -- the ruler is always 12 twelve inches long, right? 13 All right. Okay. That would be, you start out with that and Q. 14 you'd say that is valid, then you would want to check back 15 to see if it is reliable, if A always equals B, if the ruler 16 is always twelve inches long, or whether it can stretch or 17 bend, or something like that, is that fair? 18 Α. That is fair. 19 Now, you told us that you were familiar with Sarason? Q. 20 A. I have read him sometime in the past. 21 Okay. And have you read his book The Clinical Q. 22 Interaction? No, I think I said I 23 hadn't. 24 Okay. Would this be a fair statement? Sarason --25 MR. PESTARINO: Excuse me, if he hasn't read the 26

do.

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book and if his opinion is not based upon that, whether it is a fair statement or not would be irrelevant.

THE COURT: Well, if it is attributed to the author and he is not familiar with it, then it would be an improper question. Ask him whether he agrees with or disagrees with it.

MR. ROBINSON: Right. That is what I am going to

- Q. (By Mr.Robinson) Is this a fair statement with which you can agree or disagree with? Sarason cites abundant research evidence that the following have an effect, and he is talking about the type of examination, the clinican examination, 'The nature of the instructions given to the subject.'

 A. Okay.
- Q. Would that have an effect? A. Certainly.
- Q. "The actual purpose of, the actual purposes of the clinical interaction."

 A. Um-hum.
- Q. 'What the examiner is there for and what sort of information he wishes to elicit from the examinee," correct?

 A. Yeah, you need to talk about both sides.
- Q. For example, if you are there to find out about a murder or if you are there to find out how somebody gets along with his wife, that is important, isn't it, in the data that you receive?

 A. Sure. Sure, the rapport between the two people is what is significant.

1 'The purposes of the interaction as perceived by the subject"? Yes, absolutely. Okay. So if the subject thinks that, the subject Q. 4 being the examinee, thinks that he can say something that is 5 going to help him out, that would be relevant in terms of data that comes out, correct? Sure. If on the other hand he is going to say something that 8 is going to hurt him, that would also be relevant in terms 9 of data? Surely. 10 Q. "The place of the examination"? 11 I quibble with that some, but, of course, it has some A. 12 effect. 13 Q. "The time of the examination"? 14 Yeah, within limits. A. 15 Okay. And 'The race, social class and sex of the 16 examiner"? Certainly has an effect. 17 Q. All of those things do? Always. A. 18 Q. Sure. Okay. Now, these things are subject, because 19 they all have different effects on different people, they 20 are all subject to different variations, correct? 21 They are all subject to different variations. A. Because of the way that you gather your data you Q. 23 cannot scientifically say that A always equals B because of 24 these different variations? You are not always going to 25 get the ruler that is twelve inches long, you are going to 26 get the one that bends and flexes and stretches, correct?

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You always have to be aware of that.
 2
           Now, you told us that you were familiar with the
 3
     Stanford-Binet I.Q. variations?
           Talking about the Stanford-Binet I.Q. test?
     Q.
           Yes, sir.
                                              Yes.
          Are you familiar with the research that has been done
    in the field regarding that test?
           I haven't paid much attention to it for some time. I
    don't use it.
10
          You don't use it?
                                            A.
                                                   No.
11
          Had you used it in the past?
    Q.
                                                  A. .
                                                          When I
12
    was in graduate school.
13
          Okay. And has it been your experience with that test
    Q.
    that the results of the I.Q. test depends on who is giving
14
    the examination and how he interprets it?
          The result of any test always depends on the skill of
16
17
    the examiner.
          And the interpretation of the examiner on the test?
18
    Q.
19
          Sure.
20
          And that in the Stanford-Binet test sometimes the
    differences because of the different examiners testing the
21
    same individual in terms of I.Q. are as large as thirty to
22
    forty points; is that correct?
23
                                                 A.
                                                       I don't
    know that for a fact. That is a pretty large difference.
25
    Q.
          Well, does it seem reasonable to you?
26
    A.
          No.
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- Q. That doesn't seem reasonable?
- A. No, that is too much.
- Q. Have you ever read the article by Catel on <u>Society In</u>
 School dealing with the Stanford-Binet variations?
- A. No, I haven't. You understand that some of those examinations are given person to person as I do it and some of them are paper and pencil when they are done in a group. There is much more variation when they are done in a group.
- Q. Let me ask you this, is there any way of knowing whether you have received valid data from the person that you interview?
- A. I think that is a combination of all of your experience and skills, you know, it is your aim.
- Q. And did you believe what Mr. Ismail told you when you interviewed him?

 A. My interview was not so substantial to my evaluation of the man as my examination. So to ask a man directly, you know, where are you, is helpful in terms of all of the moods and tone, and the flavor of the personality. Just as my teacher Karl Jeung was the first to develop the lie-detector test, which I am quite familiar with or was familiar with once, it is important to try to detect differences in the tone of voice, the manner of response, blocking, the time it takes for a response. There are a lot of cues you look for just in the verbal back and forth, but we really try to look for tests.
- Q. You are looking for the truth?
- A. That is correct.

Q. And is there any way for you to tell whether or not Mr. Ismail told you the truth about his involvement with the Patriarch that night?

A. Only my clinical judgment.

Q. And your clinical judgment was what, that he told you the truth?

A. When he told me that he didn't remember anything from the time of an insult from the Patriarch to roughly, really until he was in the jail, I think I believed that, yes.

- Q. And you, also, believed him as to how he acquired the gun?

 A. I accepted what he told me. I had no basis to really argue with him about that.
- Q. And you accepted what he told you about not remembering anything from the time the Patriarch called his father a name until he ended up in jail?
- A. That was important to me.
- Q. And you accepted his explanation as to why he came down here from Canada?

 A. I work at different levels. Consciously I accept what he says that his conscious intention was to come down to visit, to look for the possibility of employment. You know, these things I can accept at a conscious level. I am sure that he believed them. That he might have some other motivation as well that he didn't know about, that would be something I would also believe.
- Q. So it is just as believable that he came down here to

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kill the Patriarch as he came down here to look for employment?

A. I don't think so. But I suppose that it is possible that somewhere at a deeper level he might have had that intention. I think I am more inclined to believe that he came down and danced around the need to confront the Patriarch, which I think was really probably the basic underlying purpose in his coming.

- Q. Danced around the need to confront the Patriarch?
- A. Well, it is -- to say to a spiritual leader that you do not wish to support him is a very heavy thing. And I believe in my understanding of Mr. Ismail that was pretty basic to his coming down here.
- Q. Did you ask him why he didn't call the Patriarch or write him a letter?

 A. I believe he had talked to him on the telephone.
- Q. And when did he talk to him on the telephone?
- A. I don't know the exact date. Sometime in the last

Q. Okay. And did you ask him why he couldn't talk to him on the telephone again and tell him of his decision not to support him?

A. As I say, it was not my intention to try to really interrogate Mr. Ismail. I wanted to get a feeling for everything that he remembered and experienced as clearly as I could and try to make an evaluation of how, how truthfully I thought he was giving me this information. But more fundamental to my work with

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Q.

Mr. Ismail was to attempt to evaluate him through tests because I, as you, am aware that just interviewing is just not all that substantial. One requires something more. So you are saying if in fact you hadn't given Mr. Ismail any tests you couldn't have had a valid basis to form an I think my basis for opinion would have been somewhat worthwhile, but I would have been far less satisfied with it. Let me question you on that, if I might. If you had not given Mr. Ismail any test you told us that your basis for opinion would be somewhat worthwhile, okay? And the only thing then that you would have to base your opinion on would be the statement of Mr. Ismail, A. No, my impression of Mr. Ismail and all of the other variables that I described to you, just as I talked to you, I looked at everything you say and do, how you move, what the tone of your voice is, what your eyes are doing, what your mouth is doing, what all of the muscles in your body are doing. And I look at all of And you have seen me, without giving me any tests, I take it for longer than you saw Mr. Ismail, if you exclude all of the tests, correct? I don't understand you.

Yeah. You told us that you saw Mr. Ismail for, well,

but I think I ought to say something. I don't think, first 1 of all, he didn't pay the expert and to get an opinion from 3 him, that's wrong. And secondly, we are not talking about Mr. Robinson. We are talking about Mr. Ismail. THE COURT: No, I think I'd better sustain the 6 objection because of the fact that it would require --MR. ROBINSON: It goes to his credibility. 8 THE COURT: Well, he hasn't interviewed you as such. 10 THE WITNESS: No, I haven't. 11 THE COURT: And also I am afraid that --12 THE WITNESS: I would like the opportunity. 13 THE COURT: I think you are treading in dangerous 14 water. The objection will be sustained. 15 MR. ROBINSON: Thank you, Your Honor. (By Mr. Robinson) So you have to say then that you based your opinion on the combination of the tests and the 17 18 interview? Yes, I do. 19 Okay. Without referring to any police reports or 20 contacting any victims or eyewitnesses in the case? I didn't think that was my function. That I was --A. Well, I guess maybe this is where we are hung up, Q. 23 Doctor. Your function you told us is to determine truth? Um-hum. A. **2**5 Okay. And how do you determine truth without looking 26 at both sides of the question? Well. I

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readily acknowledge that if I had every piece of data about David Ismail throughout his life and every circumstance here in the recent weeks or months, I probably could be more exact in my description of him. But it does not follow that I have no exactness because I do not know everything about him.

- Q. So what you are saying is, you gave us your best shot based upon the evidence that you had before you?
- A. Based on seven hours contact.
- And would a jury be in a position to give their opinion as to whether or not Mr. Ismail is the type of person that could commit this crime hearing all of the evidence in the case?

MR. PESTARINO: Objection, if Your Honor please.

THE COURT: What is the basis of the objection?

MR. PESTARINO: Calling for the opinion of what a jury would be able to do and what it can't do is a matter of law for the ultimate finder of fact, and it is an improper question to ask for that type of opinion. The jury hasn't been examined. There is no foundation.

MR. ROBINSON: I haven't heard a legal objection yet.

THE COURT: The ultimate decision, of course, will be that of the jury. The question asked of the doctor is whether or not in his opinion a jury could make a

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similar decision?

MR. ROBINSON: Having heard all of the evidence.

THE COURT: Well, it isn't that --

MR. ROBINSON: Strike that. I'll save that for argument.

THE COURT: It is obvious that is their obligation.

MR. ROBINSON: I wanted to know what the doctor felt about that.

MR. PESTARINO: Go ahead and ask him.

THE WITNESS: I'll answer it if you want.

(By Mr. Robinson) You used the term cold premeditated killer? A. Yes.

All right. Where did you come up with the word "cold"? A. To me it is the antithesis of empathic kind of warmth, and identification with another Cold to me means behavior in which you consider only your own feelings and wishes and do not identify with the person you are interacting with. So to be cold is to use another person and not care. The warmer empathic type human being would be hurt if he hurt another person. If I really deliberately hurt you and I am really empathic, I will feel your pain quite vividly. Just as if a client comes into my office I can frequently sense their symptomatology, even like if they have an upset stomach, and they feel nauseous I may suddenly ask them, because I feel this in me,

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1
     to classify people. Psychologists continually try to do it.
     Q,
           Just like you have tried to do in this case?
     A.
           Surely.
           Okay. Now, was the Wexler Adult Intelligence scale,
     Q.
     that is one of the tests that you gave Mr. Ismail?
 6
     A.
           Yes.
           Was that test designed -- well, I'll ask you this,
     why was that test designed?
           It is a general test of -- a test of general
10
     intelligence.
           Was it primarily designed to decide legal issues in
11
     the courtroom?
                                             I can't understand
13
     your question.
           Was the purpose of the person who designed that test,
14
    was his purpose in designing the test to decide legal issues
15
     in the courtroom?
                                              No, they designed a
17
    test of general intelligence.
           The full range vocabulary Form A test, was this test
18
     Q.
    primarily, was its purpose to decide legal issues in the
19
    courtroom?
20
                                           No.
    Q.
           It was designed for another purpose?
22
           Again, a form of intelligence.
    A.
23
          The wide range achievement test, "RAT," was this
    test primarily designed in its purpose to decide legal
24
25
    issues in the courtroom?
                                                 No. it wasn't.
          Grays Oral Reading Test, was this test primarily
26
    Q.
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1
     designed in its purpose to decide legal issues in the
     courtroom?
                                          No.
                                               No. it wasn't.
                                   A.
 3
           I'm having trouble with this one.
 4
     Α.
           Dvorine.
           Dvorine Iso-chromatic Plates, was this, and I'm using
 6
     the word test, I'm going to substitute for the word
 7
     technique, is that a fair substitution?
 8
           I think you better stick with test.
     Q.
           What is the difference between a test and technique?
10
           Well, a technique has got no necessary reliability or
11
    validity demonstrated. It is like something I can do.
12
    Q.
           And do these tests have any necessary reliability and
13
     validity in compared to legal issues in a courtroom?
14
     A.
           They have all been tested, they have all been used to
15
     establish validity and reliability for their purposes.
     Q.
           In a courtroom for legal purposes?
17
           For their purposes, you know, whatever their purposes
18
     were.
19
           And what about the Knox Cue Test, was this test
     Q.
20
     primarily designed in its purpose to establish legal issues
21
     in a courtrom?
                                            That is closer to
22
     legal issues because it had to do with immigration, but not
23
     specifically to Court.
24
     Q.
           The Knox Cue Test is a memory test?
25
           It is essentially a scale of intelligence using
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memory.

1	Q. Memory for design, this test, was its purpose and
2	reason it was designed to decide legal issues in a
3	courtroom? A. Again we are
4	getting a little closer. This is a scale that is frequently
5	used to determine the degree of mental impairment or
6	organic impairment if it was really a serious organic
7	impairment so the person needed a conservator you might use
8	it in a courtroom. Its essential use is to try to define
9	whether there is organic impairment.
10	Q. Your answer would be no? A. It is not
11	primarily designed for a courtroom.
12	Q. Its purpose wasn't for use in a courtroom when it was
13	designed? A. No.
14	Q. Bender-Gestalt background interference procedure, was
15	the purpose for this test and the reason it was designed to
16	be used in a courtroom? A. No. No.
17	Q. Hooper visual organization? A. No.
18	Q. House tree person drawings? A. No.
19	Q. Rorschach ink blots? A. This test is
20	frequently used in Courts to establish fantasy.
21	Q. Was its purpose when it was designed to be used in
22	a courtroom? A. No. No, it is not
23	specifically designed for courtroom.
24	Q. Thematic apperception test? A. No.
2 5	Q. Are there any tests that psychologists have designed
26	whose purpose and design was to be used in a courtroom?

1	A. Not to my knowledge.
2	Q. Now, does that tell you something? Strike that.
3	Okay. Now you told us that when you interviewed
4	Mr. Ismail you did some reading on the church, the Assyrian
5	people, right? A. That is correct.
6	Q. Okay. You did some outside reading on this. You
7	didn't rely on Mr. Ismail for this information?
8	A. I just looked it up in the Encyclopedia Britanica.
9	Q. And you included that in your report, right, that
10	information you looked up? A. Sure.
11	Q. Why? A. I thought it was
12	substantial.
13	Q. Well, you had to go to an outside source to get this
14	information. Why didn't you ask Mr. Ismail about it?
15	A. We did talk about the church. He had difficulty
16	explaining it to me. We had a language barrier.
17	Q. I see. He had difficulty explaining the church to you
18	at that time? A. Well, his term for it
19	isn't the same term as is frequently used in English.
20	Q. So you went to an outside source?
21	A. I went to the encyclopedia, yes.
22	Q. Did Mr. Ismail have difficulty explaining to you what
23	happened the night of the killing?
24	A. He told me what he remembered, yes.
25	Q. And did you have difficulty understanding that?
26	A. Some difficulty, yes.

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Q.
           Did you go to an outside source for that?
 1
           I asked an Arabic interpreter to come with me.
           Could you answer my question, please. Did you go to
     Q.
 3
    an outside source?
                                                I am not trying to
    avoid your question. How can I go to an outside source ?
 5
           Did you go to police reports and contact the district
    Q.
    attorney?
                                         The only thing I did was
                                   A.
    look up the newspaper article which originally described his
    arrest.
 9
          So you went to the newspaper ?
                                                            Yes.
10
    Q.
          Do you consider the newspaper a reliable source of
11
    information?
                                          In the main, yes.
12
          Do you believe everything that you read in the news-
    Q.
13
    paper?
                                      No. I don't believe
14
    everything that I read in the newspaper.
15
          Did you believe what you read in the newspaper
16
    regarding Mr. Ismail's arrest?
                                              A.
                                                      I really
17
    went to the newspaper article for background.
18
          Can you answer my question?
    Q.
                                                          I guess
19
          I just simply read something and I put a probability
20
    figure alongside of it. I don't say yes or no. I say
21
    maybe.
22
          Let's talk about, you deal with, you talked about
    Q.
23
    reliability and validity, right?
                                                A.
                                                       Um-hum.
24
               THE COURT: Excuse me, counsel, I know we are
25
    not going to get through and counsel will also want to
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reexamine. Perhaps you ought to approach the bench for just a mement.

(Discussion off the record.)

THE COURT: All right. We will take the recess over the noon hour and we will resume a little after one thirty. And you will keep in mind the admonition I have given you before and not discuss the case among yourselves or with any other person. We will see you around that time. The defendant will be ordered to return, also, as well as any subpoensed witnesses.

(Whereupon, Court adjourned for the luncheon recess.)

____00____

1 AFTERNOON SESSION March 24, 1976. 1:30 o'clock p.m. 3 (Pursuant to adjournment, Court convened, and the 4 following proceedings were had:) THE COURT: Please be seated. Let the record show that the jury is present, the defendant is present with counsel. You may proceed. MR. ROBINSON: Good afternoon, Doctor. THE WITNESS: Good afternoon. 10 JACK NIDEVER, the witness on the stand at the time of the adjournment, 11 resumed the stand and testified further as follows: 13 CROSS-EXAMINATION 14 BY MR. ROBINSON: Q Doctor, I have a few more questions for you, if I might. Okay? Go ahead. A. 17 Thank you. Now, we were talking about your examination 18 of Mr. Ismail in the jail. True. 19 And would one of the factors that might invalid-20 ate your data be the fact that Mr. Ismail was upset or 21 worried at the time he gave this examination? Well, I think that is part of the thing we wanted to 23 explore. I don't see that was invalidating the examination. Well, if somebody is given a battery of tests --24 Yes. -- and he has other things on his mind would that affect 26

weather, a child is used to sitting at a desk with an arm on

it as opposed to a desk without an arm on it, might affect

that person's ability on an I.Q. test and how he does?

26

24

- A I haven't read that study. It is interesting.
- Q Would you agree with that study that that factor could influence the child and his ability on the I.Q. test?
- A Sure. Many factors affect testing.
- Q. Okay. That's fair. Also, you also told us that you rely to some extent on your experience in making these evaluations; is that correct? A. Yes.
- And concerning your experience doesn't the weight of scientific research show that more experienced examiners, psychiatrists and psychologists, are not appreciably more accurate in their evaluations than the less experienced examiners?

 A Less experienced psychiatrists are not any better than more experienced psychiatrists?
- That is correct. Doesn't the weight of research show that?

 A I am not familiar with research that shows that. I imagine it could be so, young psychiatrists are often better than older ones about some things.
- So experience in and of itself, the fact that you have been in the business 10 years really doesn't tell us much?
- A I hope it does.

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- Isn't there substantial research and writing in the field to the effect that experience plays, is no factor whatsoever in somebody's ability to give an opinion to diagnose a case?

 A I just can't accept that.
- Are you familiar with the study done by Plott, Erica & Cromwell entitled The Ability Of Clinical Psychologists To

1 Discriminate Between Drawings By Deteriorated Schizophrenics And Normal Subjects In Psychological Reports? 3 I think I have heard the title but I haven't read it. And are you familiar, would you agree with this proposition, that it was found that college students and nonclinical faculty members were approximately as good as experienced psychologists in discriminating drawings by deteriorated schizophrenics and normal subjects? I don't accept it. 10 You don't accept it? No. 11 Are you familiar with the article by Goldberg, Okay. The Effectiveness of Clinicians' Judgments in the Journal of 13 Consultant Psychology? A. Well, I don't remember 1.4 that title. I haven't read it. 15 Would you accept -- are you familiar with Goldberg? 16 Well, there are many Goldbergs. 17 With L. R. Goldberg? A. No, I don't Okay. 18 think that I know him. 19 Isn't he an authority in the field? 20 I don't think that just everybody who publishes is an 21 authority. 22 Would you agree with what Goldberg has to say, Okay. **2**3 where he says in his experiments Goldberg had experienced psychologists, psychological trainees and non-psychologists, 24

for example, hospital secretaries use the Bender-Gestalt test

in the attempt to distinguish 14 brain damaged psychiatric

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patients. There were no differences among any of the groups with the staff psychologists ranging from 60 to 70 per cent, the trainees from 60-77 per cent, and the secretaries from 57 to 73 per cent. A. And what are you asking me?

I am asking you if you agree with that.

A Well, it is a finding. I can't disagree with the finding. Whether it is true in general and outside of that particular small facility I don't know.

Are you familiar with the article by Walker & Linden in the Journal of Clinical Psychology, entitled Varying Degrees Of Psychological Sophistication In the Interpretation Of Sentence Completion Data?

A No, I don't know the article.

Okay. In that article Walker & Linden found no practical difference between experienced psychologists, undergraduate psychology students and undergraduate engineering students in making assessments from sentence completion data. Would you disagree with that? A. I would like to comment on it if you would care to listen to me. is a very strong movement in academic psychology to discredit clinical psychology. There have been a number of studies that establish that housewives or almost anybody can do psychotherapy, that psychotherapists do nothing, whether they are psychiatrists, social workers, housewives or psychologists. The backbone of experimental psychology is dismayed by our use of idiosyncratic methods instead of the nomothetic methods,

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and they continue to attack us and many of the articles you
    are citing there could come from this position. And I am not
    very interested in them.
         Now, let's, if we can, progress to the tests that you
    gave Mr. Ismail?
                               Sure.
                 And once again, I believe, so it is clear in my
         Okay.
    own mind, we have established that none of these tests that
    you gave Mr. Ismail were designed for the purpose of deciding
    the legal issue in court; is that correct?
         I don't believe any of them were designed for courtroom,
11
   no.
12
               Now, let's talk about the Wexler Adult Intelli-
         Okay.
    gence Scale.
                   Did you bring that test with you?
14
         Wexler?
15
        Wexler.
                            Wexler.
16
             MR. PESTARINO: Can we use the board?
17
             THE COURT: Yes.
                                Do you want to open it?
18
         (By Mr. Robinson) First of all, Doctor, I would like
19
    for you, if you would, please, to give us -- you saw the
20
    defendant, Mr. Ismail, on March 11th which was a Thursday?
21
         Mm-hmm.
22
         March 13th, which was a Saturday?
         And March the 14th which was a Sunday?
24
         That is correct.
25
         And you told us that there are various factors, any little
    thing can influence the outcome of a particular test?
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 - to visit Mr. Ismail on these two days, we had planned it to

 - hours of 12:00 and something, and he was expecting me at that

- No, I didn't say that. You did. I agreed that many factors influence tests. I didn't say little factors can alter the outcome of tests. I really did agree with you that
- there are many factors that influence the outcome of tests.
- Are you familiar with what the busiest days in Okay. the jail are? A. I have no awareness of that.
- You told us that you were familiar with the jail.
- I have been in and out of the jail. I haven't made a study of frequency there.
- Do you know when they have visiting days in the jail?
- I presume it is on the week-ends.
- And using your common sense, on the week-end, if somebody was to receive a visitor would they be more excited than they would normally when they weren't to receive a visitor during the week? A. If you are talking about any subject who is in the jail, sure, if he is expecting company he might be more excited.
- Would this excitement, would this be one of the factors that could influence the outcome of the test?
- I don't believe so.
- Excitement has nothing to do with the outcome of a test?
- You are asking me to generalize as from anybody in the jail expecting a visitor and me having made an arrangement
- be at the lowest activity level within the jail between the

time.

6.

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You had planned it to be at the lowest activity level within the jail? A Well, in the sense of the internal affairs of the jail, that is, after lunch and before dinner.

Okay. But my question is, do factors like excitement, anxiety affect the outcome of the test? A Surely anxiety is one of the things that we like to measure with our test, so we are looking for these kind of factors. Are you asking does it degrade the outcome of a test? Potentially, if you don't pay any attention to it.

- Okay. And the tests that you gave were on the Saturday and the Sunday, the 13th, the 14th, and 11th, which was a Thursday?

 A. I gave the tests on the 13th and the 14th, Saturday and Sunday.
- Okay. You gave the tests on the 13th and the 14th?

 A That is correct.
- Now, let's talk about -- what did you do on the 11th when you first met with Mr. Ismail? A. I talked to Mr. Ismail.
- Q Okay. And how long did you meet with him at that time?
- 21 A I think around 45 minutes.
 - And what did you discuss?

 A First I had to get

 Mr. Ismail to be willing to talk to me. And as a matter of

 fact I suppose my preliminary visit was to assure that when I

 did ask him on Saturday and Sunday we could go ahead with the

 testing and we wouldn't have an impasse in terms of whether

he would be willing to work with me. So my visit on Thursday was to see whether in fact we could function together emotionally and linguistically. 4 And that is an important consideration? It certainly is. Because the emotions, the stability, the mental condition of both the examinee and examiner are critical in the outcome of the tests, aren't they? They are very important A. on some tests, less important on others, but they certainly 10 are significant, yes. Now, when you talked with Mr. Ismail on the 11th, which 11 was the Thursday, did you have any trouble understanding him? 12 I had some difficulty, yes. 13 And what sort of difficulty did you have? 14 Okay. Q A I think it was in his use of words. He would be trying 1516 to explain something to me and I find it somewhat hard to follow his track of thinking. We would keep going over it, 17 and eventually I would get a kind of a pretty, somewhat hazy, 18 but pretty clear perception of what he was trying to tell me. 19 And he had more emotion on that day than he did on Saturday 20 It was easier to understand him on Saturday. and Sunday. 21 He was more emotional on the 11th? 22 stranger, yes, he had to decide whether I was trustworthy or 23 who I was, what I was there for. 24 So do you feel that when somebody in Mr. Ismail's 25

position meets with a stranger he tends to be more emotional

10 than if he knew the person? A. He is going to be more defensive initially, sure. And if you had administered any tests on the 11th that emotional instability would have affected the outcome of the test? I think it would have showed itself, yes. A. Now, I take it no tests were administered on the 11th? A. That is correct. Now, on the 13th, the Saturday --Mm-hmm. -- you met with Mr. Ismail in the jail? 10 And at this time you administered some tests? 11 Yes. Could you tell us which test you administered first? 12 Do you have the order? 13 A I don't remember the exact I think I started with a full-range picture vocabulary. order. 14 Q Let me interrupt just for a second, if I might, please. Did you take notes when you interviewed Mr. Ismail on the 13th? 16 I don't believe I did. I didn't want to appear as if 17 I were writing down all kind of things about him. 18 I wanted to relate to him so we could feel like we could try to do 19 what I set out to do. 20 Did you take any notes on the 11th when you interviewed 21 22 him? Perhaps a few notes in the periphery of my **2**3 test material. On the 11th you were trying to relate to him more so 24

than the 13th? A. On the 11th I was trying to give him the feeling that he could trust me enough to allow me to

11 evaluate him. 2 Okay. So you took notes on the 11th but not on the I did not really take notes on the 11th. 13th? A So everything that is contained in your report regarding what he said you had memorized, then subsequently 5 6 put it on the report? I took some notes on the 13th A. and 14th and, of course --I'm sorry, I thought you just told us you didn't take 8 notes on the 13th? 9 A. I said I took some notes. know, it was not my thinking to be writing down all kind of 10 words that he was saying. 11 I was giving him tests, while I was giving him a test I might write down a little note here 12 or there in one of the test corners, but primarily was testing 13 him on Saturday. 14 And you didn't write down the order in which you gave 15 the tests? 16 A. Not that I recollect, no. Does that have any significance? 17 A. Not to me. So you can give these tests in any order you want? 18 I give the order as a function of the person I am with. 19 As a matter of fact, I don't think that I started with full 20 What I usually start with as an ice breaker range picture. 21 are things like the Bender-Gestalt which is pretty simple and 22 non-verbal, and you just have to copy some design. 23

Q Do you recall what you did in this particular case or are

are pretty non-threatening. Then we get going; after I get

him opened up, I'll try something else.

25

you testifying from what you usually do?

A I think I'm testifying from what I usually do. The order of the testing is not usually so significant to me because I am not absolutely sure of which test I am going to use. This develops as I go along.

A No, you see what you discover and if you come to a point here, and you go that way you give one set of tests, and another way you give another test. You can see the performance on any individual test kind of determines how you go.

Do you usually go in to meet someone with a preconceived idea of what sort of test you are going to administer him or go in without any preconceived idea?

A I take in a range of tests that I think will cover the situation.

Now, why don't you tell us as best you can recall what tests you did give him on the 13th? A On the 13th I gave him the Bender-Gestalt twice. The second time with a background interference procedure. I gave him the house-tree-person drawings; the Devorine Colorblindness Test, the Knox Cube Test, the Memory For Designs Test, the Hooper Vision Organization Test, the wide-range achievement test, reading and arithmetic, Grey's Oral Reading, and the Wexler Performance Scale.

Q So you gave him approximately 10 tests on that day?

A. If that is what it counts.

B

- Q Okay. Now, is that usual or unusual to give that many tests in a limited time period? A. A little more than usual, not too much.
- A little more than usual or unusual?
- A little more than I would usually do. I generally test in about a 2-hour sequence. This was a 3-hour sequence.
- Q Does mental fatigue have anything to do with the subject's ability to perform on these tests?
- 9 A. Well, we keep checking on it, sure, if he got exhausted
 10 it would not be efficient. I kept checking with Mr. Ismail.
 11 We moved around a little bit. We were in the dining hall
 12 doing the testing. We had a lot of room.
 - Q How did you check to see he was tired mentally?
 - A The first place, I asked him. I looked at him, speed of response, and in general he seemed to be functioning all right. And then I have myself, I am there, I have to do the same thing he does. So if I am making it, maybe he is, too.
 - When you say you have to do the same thing he does, could you explain that for us? A Well, we are both working, we are both active. I am trying to examine him and he is being examined. If I become exhausted then it occurs to me inasmuch as, you know, I don't have an infinite amount of energy, what I am trying to say, I begin to get tired I can assume he is getting tired. I may break for myself, not just for him.

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That is fair. And on the 14th what test did
,14
               Okay.
          Q.
          you give Mr. Ismail? A.
                                       We did the Rorschach and TAT.
               All right.
                   MR. ROBINSON: Let me have this marked as People's
       5
          next in order for identification, Your Honor.
                   THE COURT: It will be 33. What is it, please?
                  MR. ROBINSON: It is a letter from this witness to
         Judge Duvaras.
      9
                   (Whereupon, the above-mentioned document, being a
      10
         letter, was marked as People's Exhibit No. 33 for identifica-
      11
         tion.)
                  MR. PESTARINO: May I see it?
     13
                  MR. ROBINSON: Certainly.
     14
                  MR. PESTARINO: Thank you.
     15
                  MR. ROBINSON: May I approach the witness?
     16
                  THE COURT: Surely.
              (By Mr. Robinson) Sir, showing you this letter, do you
     17
         recognize that?
     18
                               A.
                                    Sure.
              Okay. Is that your signature? A.
     19
                                                       Surely is.
              Your letter?
                                     My letter. May I hold it just
         for a second more?
                              Okay.
              Now, did you become more experienced interviewing people
     22
        in jail from July 15th, 1975, until you interviewed Mr.
     23
        Ismail on March of 1976?
     24
                                       A.
                                            Perhaps some.
                                                            I have been
        in and out of the jail, yes.
             In this letter did you indicate to the judge, this is
     26
        Q.
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regarding Mr. Ricardo Valdez who we already talked about? 1 15 2 Mm-hmm. 3 "I would, also, like to test him in my office because I believe my testing here will be substantially more 5 reliable and accurate than at the jail." 6 Mm-hmm. "I have worked with the Sheriff's Department for some 8 years as a consultant and I am familiar with the 9 jail's atmosphere." Mm-hmm. 10 So is it fair to say that your test that you gave Okay. Mr. Ismail would be substantially more reliable if you had 12 given his test at the office as opposed to the jail? 13 I would have preferred to test at my office. 14 For the reasons they would be substantially more reliable? 15 There is one condition that is different there, if you 16 will. With Mr. Valdez I had to work in a room about as big 17 as Your Honor's space there. With Mr. Ismail I had the 18 golden opportunity of getting out into the dining room where 19 it was a much better atmosphere. 20 And you couldn't have brought Mr. Valdez out into the 21 dining room? 22 I was not permitted. So it is the size of the room rather than the place 23 where the test is administered that would make it substantially less or substantially more reliable? 25 I think it is

quite a factor to be closed into a little tiny room where it

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is very hot with dirty chairs and little table versus rather nice dining room with decorated murals and more comfort and feeling, with people moving around. It is a totally different scene.

Were there other inmates in that dining room when you were giving that test? A. No, but there were people moving in the hallways and two sheriff's deputies there. It was just a different scene. I don't want to wriggle out of I would have preferred to test what I said in the letter. Mr. Ismail in my place for one particular reason, I have one test that involves racks of miniatures, a whole wall is full of miniature figures, people, animals, vehicles, and I also have a sand tray there. There is no way that I can transport that particular system to a jail. Now, this is one of the better projective devices that I have, and I would very much have liked to use it with Mr. Ismail just as I would with Mr. Valdez. In neither case was I permitted to use it. I never even asked for Mr. Ismail because I had already been denied with Mr. Valdez. I assume inasmuch as Mr. Ismail had a more serious charge there was no possibility of me taking him to my office.

Q Okay. But although Mr. Ismail had a more serious charge you were able to bring him out into the dining room, but you couldn't do that with Mr. Valdez? A Well, it is very curious --

Q Yes, it is. A. I can tell you something that has

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happened in between time, if you will, and it makes all of the difference in the world, and you can judge it as you will Captain Tam who runs the jail happens to be a personalfriend with whom I have worked for 7 or 8 years. At the time I worked with Mr. Valdez Mr. Tam was a lieutenant and he was not in charge of the jail. And I was blocked several times even though the attorney had arranged for my examination. There were all kinds of snafus until I said the magic word, "Would you please ask Captain Tam," and at that moment everything changed and I think since I got such an open opportunity with Mr. Ismail, Captain Tam backed me and said, "Let Dr. Nidever do what he will."

- Let's talk about some of these tests, if I can. Did you bring, did you bring your originals, the ones that you gave Mr. Ismail? A. Yes, surely.
- And can we look at your Wexler Adult Intelligence Test for a minute?

MR. ROBINSON: Perhaps we can mark that, Your Honor?

THE COURT: People's Exhibit?

MR. ROBINSON: People's Exhibit will be fine.

THE COURT: It will be 34. It is a Wexler?

MR. ROBINSON: That is correct, Your Honor.

THE CLERK: Could I mark it, please?

(Whereupon, the above-mentioned document, being a Wexler Adult INtelligence Test, was marked as People's Exhibit No. 34 for identification.)

Q (By Mr. Robinson) Now, isn't there something more? That is the Wexler. Where is -- these are just the answers, huh? Where is the pictures and everything? Well, they are in my test kit. Where is your test kit? A. In my briefcase. Okay. I mean, do you want that entered into evidence as well? I want to see it. Sure. If you would, please? This is out of order (referring to test). If I can have just a minute I would like to put them back in order. 11 Q Sure. No problem. Okay. You have the raw A. . tests there from the Wexler. Yes, sir. A. And the items which are included Q. there are these. There, I think I have everything I use. 14 No, I didn't use those (indicating). That is it. 15 16 Okay. And could you, perhaps --MR. ROBINSON: Is there any way that the doctor can 17 18 step down here so that the ladies and gentlemen of the jury can see these test items, Your Honor? 19 THE COURT: Well, I'm sure that he could. 20 MR. ROBINSON: Would that inconvenience you at all, 21 22 Doctor? 23 THE COURT: I just wonder, counsel, are we going to go through every question? 24

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MR. ROBINSON: No, I just think that it is beneficial

to see the test items. I know I have never seen them before

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and I am certain that a lot of people on the jury haven't.

It might be informative.

THE WITNESS: I'm happy to do whatever you wish.

THE COURT: Do you want to take the microphone along with you or not?

THE WITNESS: I just as soon skip it.

These items are for the Wexler Performance (indicating).

MR. ROBINSON: Could you show what they are?

THE WITNESS: The first one is, goes like this. It is essentially a clerical task and you have the number here.

MR. PESTARINO: I want to see this, too. I haven't seen it before.

they run from 1 to 0 and below. There are different kinds of secret signs, so it is kind of like a code, and you have the person practice on the first 10 to see that he can code these numbers with his particular sign. Then you go ahead and give him a minute and a half, and you ask him to continue sequentially and do as much as he can and call out the digit symbol.

- Q (By Mr. Robinson) And during this minute and a half that the individual is doing this test, what are you doing?
- A. Keeping time.
- Q Okay. You are watching your watch? A I have a stop watch.

I want him to

Okay.

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Okay. Can we see some of these things that you showed him and what he did? A. Well, now, this is another set of tests. It simply is a series of pictures, 20 pictures in which one object is missing. The first one here, the doorknob is missing. And you flip over them and they get more difficult. The second one is a pig, and he has no tail. You get up here to about the last picture or close to the last

And then, it is easy to overlook what

I keep time but I don't have to look at it every minute.

And you are not observing how he performs the

You are just keeping time, not observing his manner-

Do you feel that it makes an individual sort of nervous

isms or anything like that? A. Well, I am not trying

if you sit there and keep looking at your watch, say, "You

have a minute and a half," then keep looking at your watch?

I thought you told us that you were keeping time?

to press him by peering down his eyeballs.

feel like he is doing it on his own.

I don't do that.

A JUROR: Horn.

is missing there?"

THE WITNESS: Many people don't see that.

is missing, and you can tell me what you see missing.

one, you are looking at a picture and a statement is, "What

(By Mr. Robinson) That is one of the tests? That was the most difficult and the easiest was the doorknob, is that the way it ran? A. The doorknob is easiest and the last

one, which is considered the most difficult, is a woman's head has something missing. Anybody see it? It is a long ways from you, I appreciate.

A JUROR: Eyebrow.

THE WITNESS: That is correct. But it is not too easy to see.

MR. PESTARINO: I didn't hear the answer. What was missing?

THE WITNESS: It was supposed to be an eyebrow.

- (By Mr. Robinson) A person has a minute and a half to complete that?

 A. No, this is a second scale and there is no great time limit on this, unless a person just simply kind of blocks and can't do it, then you go on.
- Q Has as much time as he wants? A. Not totally, but there is no pressure on how much time to do it.
- Q Okay. That basically along with other items like that in the box would conclude the Wexler Test? A. We have two more subject tests here.
- Why don't you show us? A. The next subject test is, I have some blocks here, children's blocks but you can do kind of cute things with them. These blocks have red on one side, white on the other, diagonal. What the person is asked to do is create a design. Like here is a design with a picture. It doesn't really show any lines so you demonstrate to the subject that you want them to see if they can do that. And that is pretty good. Then you go to the next

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Mm-hmm.

one, and it is a little more complicated. And at this point you again demonstrate it to have them do it. have to use the diagonal, and so then here we have a picture. Now, I would just like to show the A. Okay. last one here so that we can get it out completely. through this one like this, and then we get to one like this, and they are complicated and the last one looks like that. Okay. Thank you. I don't believe I will ask any more questions on that. That was just for my own experience. have never seen that. Thank you. I appreciate it.

> What I would like to ask you though is --MR. PESTARINO: May he resume his seat? MR. ROBINSON: Sure.

THE WITNESS: Do you want this (referring to exhibit)? THE CLERK: Unless the DA is going to use it.

(By Mr. Robinson) We talked earlier this morning about base rates and we called it norms, okay? A

Is there any base rate or norm between, that you know. about in the field of psychology or any other literature or anything that you ever heard or read about, about somebody's ability to premeditate and deliberate and somebody's ability to perform the Wexler Intelligence Test? There is no direct relationship I have never seen stated or studied. Thank you. Now, you indicated on the Wexler Adult Intelligence Test Mr. Ismail had an I.Q. of 91?

You said that was pretty close to normal, within normal 23 Q. range? A. It is, yeah, it is low normal. Could you go to the board, please, and draw us this range that we are talking about? A. THE COURT: You will find a clean sheet, turn one more (referring to blackboard). THE WITNESS: Looks like I have a blackboard. MR. PESTARINO: I wonder, can't we number those 1, 2, 8 and 3? THE COURT: We will do that later, counsel. 10 THE WITNESS: Okay. We have here what we call a 11 normal curve, something like that. That is about 100. Well, 12 let's see, we have what we call one standard deviation, and And then this is 70. This is 115, and this is this is 85. 14 And if this is I.Q. down here on the bottom, and this 15 is frequency on the side. So that we go up we have more 16 and more people, you see that most people tend to get an I.Q. 17 of 100. 18 Let me ask you about that, Doctor, the United States 19 average is 100, correct? The average people? 20 That is what is accepted to be the norm for the American population. 22 Isn't it true between 85 and 100 and 100 and 115, that 23 can be broken down into 34 per cent on one side and 34 per 24 cent on the other side? 25 A. That is correct. It is 34

per cent of the population here and 34 per cent of the

24 population here (indicating). So would it be safe to say 68 per cent of the people that live in the United States, including us, would fall between 85 and 1152 A That is correct. And the defendant had 91? The defendant had 91. Like so (referring to graph). Thank you. That is all I have on that. 8 Now, you told that as far as reading, you asked Mr. Ismail to read English, I take it to get his reading ability? 10 A. That is correct. 11 And you told us that he had a 6th grade ability in reading? A Yes. 13 Q. And a 5th grade ability in math? A. That is correct. Now, did you ask Mr. Ismail if when he went to school 14 that was as high as his grades went up to at that time? 15 He told me that he stopped school relatively early. 16 Are you familiar with the fact that when Mr. Ismail was 17 in school that they only had up to the 5th or 6th grade, and 18 that was it, they didn't have any higher grades? 19 Actually this level is not so much a function of what 20 grade school level you went to, it is a function of how much you have learned. And you learn in school, but you also 22 learn outside of school. 23 My question was, did you ask Mr. Ismail? 24 I asked him about his schooling. He told me after he 25 had injured his head at age 7 or 8 he attempted to continue

- in school and was really unable to do so and eventually stopped.
 - And you had no knowledge that at that time when he went to school all children stopped at the 5th or 6th grade?
- A He told me his father wanted him to go to the university but it was not possible.
- Okay. Now -- A. I believe I would like to correct that. My understanding was that it was a military school.
- O Different from the university? A It is a university but with a particular purpose.
- Q Well, it was the army? A. Well, West Point is the army, too, but it is also a university.
- Okay. Touche. Now, has there ever been any studies
 done, base rate norms -- okay? A Norm?
- 16 Q That is what we have to compare things on, right?
- 17 A. Yes.
- 18 Q -- to see if there is any significance between somebody

 19 with an ability of a 6th grade reading and 5th grade math to

 20 be able to form the intent to kill somebody?
- A There are no known scientific studies. I am not aware of any in this line.
- Now, the Knox Test is what? The blocks, the cubes?
- 24 A. Yes. Looks like this (indicating).
- Okay. All right. And what you do is, you take your
 pen or whatever you use and hit on them and he follows?

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- A Yeah. He is doing it from the other side and he just tries to duplicate the pattern that you make.
 - And he did quite well on that? A. Yeah, he did pretty well on that.
 - And are there any known studies done between the ability to either perform or not perform the Knox Cube Test and somebody's ability to premeditate and deliberate and commit murder?

 A No, none that I know of now.
- Q Now, the Rorschach Test -- A. Rorschach.
- Q Rorschach? A. Rorschach, yeah.
- Q Those are the ink blots? A That is true.
- Q And when you administered the Rorschach Test to Mr.

 Ismail you had an interpreter there? A. Yes. I took

 his initial impressions in English, and the interpreter helped

 me with what we call the inquiry. We go back and review every
 thing that has been seen.
 - And if you feel that -- did Mr. Ismail know this interpreter before he was introduced? A No, he did not.
 - Q Did you feel that this bringing in an outsider would create any sort of apprehension on the part of Mr. Ismail so the test results might not be valid? A I had asked him before if it would be all right if I brought somebody that could speak another language. I asked him French, Arabic, we arrived at a language that he could use because it was a second language for him. I knew somebody, very personable individual who had grown up in Egypt, so I had him come.

- Q And the presence of a third person in your opinion didn't affect the outcome of this test at all?
- A. My sense was that he was so relieved from the frustration of trying to get to me in English his facility in going through Arabic helped the whole situation.
- Q You felt that he was frustrated on the 13th in trying to relate to you in English? A Yes.
- Would this frustration in somebody's mind influence the outcome of the test on the 13th? A Mostly I was giving him non-verbal test on the 13th.
 - A Well, I take it back. They don't require much interaction. You see, I am trying to use as much things as don't require much language from him. If I give him a sheet and he has to read off a sequence of words, the instruction is to read off a sequence of words, pretty easy. There is no confusion there. If I ask him to read a paragraph for me, again, there is a low level of confusion. But I start going back and forth with him in material where I want content and feelings, and this sort of thing, we immediately get blocked.
 - I guess the thing that is confusing me, I thought you told me the other day it is easier to speak in a foreign language than it is easier to read in a foreign language?

 A It is easiest, it depends on your experience. I think it comes easiest to listen, then to read. It is hardest to

write.

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And you had him read in a foreign language on the 13th? 28 Q. That is correct. 3 And that was more difficult for him than speaking Okay. Well, with Mr. Ismail I don't think that is to him? He is deaf in one ear and I think that actually really so. from the testing he has more skill in reading in English than he does in speaking. He can recognize more words visually than he can by using his ears. Now, could we see these ink blots, please? Show us how you do that test. A. 10 You realize that these are secrets of the trade? 12 MR. PESTARINO: I'm paying for them. THE WITNESS: They are priceless. Here are the --13 MR. PESTARINO: Charge the District Attorney. 14 MR. ROBINSON: Okay. Can we have, just for the 15 purposes of the record, well, I'll just do them in numerical order. What is the first property that the second order. 17 (By Mr. Robinson) They are numbered on the back? 18 Yeah, there are 10. 19 Here is No. 1. Okay? 20 A Yes. I will show No. 1 to the jury. 21 A. Mm-hmm. What did you do with these? 22 The instructions are: A. Please look at this, see if it reminds you of anything. 23 there anything you see in it? Could it look like anything? Tell me all that you see. 25 What did Mr. Ismail do in relation to this one?

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At first he used the words "night flight," and I thought he was trying to tell me that it had to do with looking outside an airplane while flying at night, because I was looking at the light spaces there and assuming that he was seeing those as windows. So I asked him about that.

He tried to communicate to me in English that it was a

- Wait a minute. He told you something, you looked at it and made an assumption? A I questioned him in trying to clarify what it was that he meant.
- Okay. And then did he explain it to you?
- We required the services of the interpreter to establish that it was indeed a bat.
- And what does that look like to you?

THE WITNESS: Is this something that is required of me, Judge?

THE COURT: Well, I am afraid that we are getting into the area of interpretation of Rorschach which is a whole field of study itself, and what it is like to the doctor or you or --

> MR. ROBINSON: Exactly my point.

THE COURT: -- requires professional interpretation.

MR. ROBINSON: Exactly my point. One thing to one individual and another to another.

THE COURT: I think you are invading the doctor's privacy.

> MR. ROBINSON: I wouldn't want to do that. Excuse

me.

THE WITNESS: I'll match you card for card.

MR. ROBINSON: Well, I don't know, Judge, it seems to me if I was getting \$650, Judge, I'd put my privacy on the line, too.

Q (By Mr. Robinson) Doctor, showing you No. 2, what did Mr. Ismail say about No. 2? A. He looked at it and said it was a child's top.

A what? A A child's top, something that you spin. If you turn it upside down and look at the wide space, the inside wide space looks something like a child's top that you would spin with a string.

Q Can an individual when he looks at these things, can he turn it any way he wants?

A. He can indeed.

Q No. 3? A He saw two children picking up water at a river.

Q Sound reasonable, huh? Okay. No. 4?

A. He saw a fat person from the back, an X-ray picture.

MR. PESTARINO: Let me see it, too, will you, counsel?

Q (By Mr. Robinson) No. 5. A Mm-hmm. He saw
a butterfly flying from flower to flower.

Q No. 6? A Mm-hmm, that is a violin that has been run over by a truck.

Let me ask you one thing, too, I have been showing these to the members of the jury face on, not upside down or anything, is that the way Mr. Ismail was viewing these?

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31ر They are always presented right side up. A. And you didn't turn them around or anything like that? Q. A No. No. 6 is what now? A. It is a violin that has been run over. By a truck? Or a vehicle. I have forgotten A. whether it is a truck. 8 No. 7? No. 7 is two stuffed animals. A. are made in the shape of puppies. They are something that children would play with and one of them is a girl and one 10 11 of them is a boy. 12 No. 8? A. No. 8 is two bears climbing up fruit 13 trees. 14 No. 9? No. 9 is another X-ray. A. It is a person who is large, and the X-ray is taken from the front. 15 And finally No. 10? A 16 Okay. No. 10 is the pieces of a guitar somewhat scattered. A heavy weight fell 17 18 on the guitar. 19 A heavy weight? (Nods affirmative.) Something A. heavy fell on the guitar. 20 Now, when you are showing the individual these things 21 you told us before that on the night flight of the bat you 22 questioned him on that. Do you just show it to him and he **2**3 gives you his immediate response? Or do you question him 24

on those responses? A Ordinarily I would just simply

ask and then wait to hear what was said.